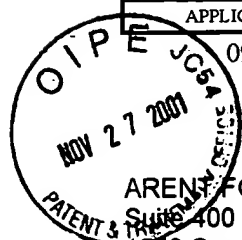




UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

#3



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,754	08/20/2001	Mohan Kalkunte	108339-00026

CONFIRMATION NO. 6943

FORMALITIES LETTER



OC000000006810745

AREN FOX KINTNER PLOTKIN & KAHN, PLLC
Suite 400
1050 Connecticut Avenue, N.W.
Washington, DC 20036-5339

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/28/2001 HROCHA1 00000054 09931754

01 FC:101 740.00 OP
02 FC:105 130.00 OP



Section #
101
109
581

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

KALKUNTE et al

Group Art Unit: Not yet assigned

Application No.: 09/931,754

Examiner: Not yet assigned

Confirmation No.: 6943

Filed: August 20, 2001

Attorney Dkt. No.: 108339-00026

For: METHOD AND APPARATUS FOR FILTERING PACKETS BASED ON FLOWS
USING ADDRESS TABLES

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231
ATTN - BOX MISSING PARTS

November 27, 2001

Sir:

In response to the Notice to File Missing Parts of Application dated September 28, 2001 (copy attached), the following are enclosed:

- ☒ \$130.00 as payment of the surcharge for the Notice to File Missing Parts;
- ☒ \$740.00 as payment for the filing fee;
- ☐ \$ as payment for a ____ month extension of time;
- ☒ Originally-executed Declaration/Power of Attorney;
- ☐ Originally-executed Verified Statement Claiming Small Entity Status;
- ☐ Verified Translation of the Application --[with a Preliminary Amendment]; and
- ☐ Other.

Applicants(s) are also enclosing the following:

☐ A Information Disclosure Statement with Form PTO-1449, -- refs. --[and a search report];

☐ A certified copy of -- Priority Application No. -- filed --;

☒ Originally executed Assignment with Form PTO-1595; and

☒ \$40.00 as payment for the recordation of the Assignment.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,



Kevin F. Turner
Registration No. 43,437

Customer No. 004372
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KFT:scc

Enclosures: Check No. 329969